FORM PTO-1449

SUPPLEMENTAL X



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66	546-	108N5	

SERIAL NO. 09/317,807

APPLICANT:

Ronald A. KATZ

FILING DATE: May 24, 1999 **GROUP:** 2643

(Use several sheets if necessary)

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S

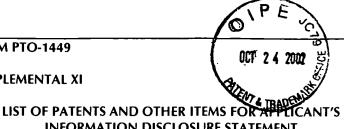
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FORM PTO-1449

SUPPLEMENTAL XI



ATTY. DOCKET NO. SERIAL NO. 6646-108N5 09/317,807 APPLICANT:

Ronald A. KATZ

FILING DATE: May 24, 1999 GROUP: 2643

INFORMATION DISCLOSURE STATEMENT

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	SERIAL NO.
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APPLICANT:	
Donald A MATZ	

LIST OF PATENTS AND OTHER ITEMS OF PATENTS INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:	
Ronald A. KATZ	
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U.S. PATENT DOCUMENTS

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SUPPLEMENTAL I

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Docket No. (optional)

240/182 (prev. 6646-108N5)

Applicant

Ronald A. Katz

Filing Date

May 24, 1999

Application No.

09/317,807

Group Art Unit

2643

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ApplicantRonald A. Katz

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FORM PTO-1449 Docket No. (optional) Application No. 240/182 (prev. 6646-108N5) 09/317,807 SUPPLEMENTAL III **Applicant Group Art Unit** Ronald A. Katz 2643 INFORMATION DISCLOSURE CITATION IN AN APPLICATION **Filing Date** May 24, 1999 **U.S. PATENT DOCUMENTS EXAMINER DOCUMENT** FILING DATE IF DATE NAME **CLASS SUBCLASS** INITIAL NUMBER APPROPRIATE 3,594,004 07/20/1971 Barr 12/21/1976 3,998,465 Mascola 4,121,052 10/17/1978 Richard ÷----4.586,707 05/06/1986 McNeight et al. 4,781,377 11/01/1988 McVean et al. $\zeta \sim$ **FOREIGN PATENT DOCUMENTS** DOCUMENT TRANSLATION DATE COUNTRY CLASS **SUBCLASS NUMBER** NO 0 120 322 02/27/1984 EPC No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER**

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Docket No. (optional) Application No. **FORM PTO-1449** 240/182 (prev. 6646-108N5) 09/317,807 SUPPLEMENTAL IV **Applicant Group Art Unit** Ronald A. Katz 2643 INFORMATION DISCLOSURE CITATION IN AN APPLICATION Filing Date May 24, 1999 **U.S. PATENT DOCUMENTS EXAMINER DOCUMENT** FILING DATE IF DATE CLASS **SUBCLASS** NAME INITIAL NUMBER APPROPRIATE 4,054,756 10/18/77 Comella et al. 4,117,278 09/26/78 Ehrlich et al. -----4,162,377 07/24/79 Mearns 4,191,376 03/04/80 Goldman 12/30/80 4,241,942 Bachman 4,242,539 12/30/80 Hashimoto 4,299,637 11/10/81 Oberdeck et al. 4,348,554 09/07/82 Asmuth 4,360,827 11/23/82 Braun -----4,451,087 09/10/85 Comstock 4,490,583 12/25/84 Bednarz et al. FOREIGN PATENT DOCUMENTS **DOCUMENT** DATE **TRANSLATION** COUNTRY CLASS **SUBCLASS** NUMBER (Publ. Dates) YES NO 0 229 170 A 07/22/87 EPC 0 568 114 A 11/03/93 EPC -----_____ 0 620 669 A 10/19/94 **EPC** -----500138/88 01/14/88 Japan ------298158/90 12/20/90 Japan OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) C.R. Newson, "Merlin Voice Mail VM600," British Telecommunications Engineering, vol. 4, April 1985, pp. 32-35 **EXAMINER** DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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FORM PTO-1449 Docket No. (optional) Application No. 240/182 (prev. 6646-108N5) 09/317,807 SUPPLEMENTAL IV **Applicant Group Art Unit** Ronald A. Katz 2643 INFORMATION DISCLOSURE CITATION IN AN APPLICATION Filing Date May 24, 1999 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT FILING DATE IF DATE **CLASS SUBCLASS** NAME INITIAL NUMBER APPROPRIATE 4,555,594 11/26/85 Friedes et al. 4,562,342 12/31/85 Solo ----------4.567,359 01/28/86 Lockwood 04/15/86 4,582,956 Doughty 4,591,190 03/27/86 Clark 4,614,367 09/30/86 Breen 4,652,998 03/24/87 Koza et al. 4,663,777 05/05/87 Szeto 06/09/87 4,671,512 Bachman et al. 4,677,553 06/30/87 Roberts et al. 4,694,490 09/15/87 Harvey et al. FOREIGN PATENT DOCUMENTS **DOCUMENT** DATE TRANSLATION COUNTRY CLASS SUBCLASS NUMBER (Publ. Dates) YES NΩ 41855/91 02/22/91 Japan 2 230 403 A 10/17/90 United Kingdom 2,009,937-2 08/21/90 Canada OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) A.S. Yatagai, "Telephonic Voice Synthesis Systems," Telecommunications, Aug. 1985, pp. 56h-l, 68 A.J. Waite, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50 "Shopping via a network is no longer just talk," Data Communications, Aug. 1981 at 43

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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"Growth-Oriented Systems, " Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51

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FORM P	TO-1449	.7243	Docket No. (optiona	1)	Applicatio	n No.
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SUPPLE	MENTAL IV		Applicant		Group Art	Unit
INFORM	ATION DISCI	OSURE	Ronald A. Katz		2643	
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			May 24, 1999			
		U.S	S. PATENT DOCUMEN	its	<u> </u>	
EXAMINER NITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ري	4,704,725	11/03/87	Harvey et al.			
-	4,716,583	12/29/87	Groner et al.			
	4,764,666	08/16/88	Bergeron			
+	4,766,604	08/23/88	Axberg			
1	4,774,655	09/27/88	Kollin et al.			
	4,788,718	11/29/88	McNabb et al.			
	4,796,293	01/03/89	Blinken et al.			
	4,797,910	01/10/89	Daudelin		l	
	4,842,278	06/27/89	Markowicz			
	4,847,890	07/11/89	Solomon et al.			
	4,882,473	11/21/89	Bergeron et al.			
	4,894,857	01/16/90	Szlam et al.			
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	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	9002131	08/21/90	France			
	DE 4005365 A1	08/23/90	Germany			******
	WO93/05483	03/18/1993	PCT			
	OTHER DO	CUMENTS (Inc	luding Author, Title, D	ate, Pertinent	Pages, Etc.)	<u> </u>
	"Let your fingers d	o the tappingand	d the computer the talking," N	Modern Office Tech	., May 1984 at 8	30
	"American Softwa	re unveils systems fo	or IBM mainframes," Compute	erworld, Mar. 26, 19	984 at 59	<u> </u>
Sw	"Business Units G	et Order Entry," Com	nputerworld, July 12, 1982 at	36		
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
81	4,899,375	02/06/90	Bauer et al.			
	4,942,598	07/17/90	Davis			
	4,964,157	10/16/90	Aoshima			
	4,965,825	10/23/90	Harvey et al.			
	4,969,185	11/06/90	Dorst et al.			
	5,017,917	05/21/91	Fisher et al.			
	5,018,736	05/28/91	Pearson et al.	********		
	5,023,904	06/11/91	Kaplan et al.			
	5,046,183	09/03/91	Dorst et al.			
	5,083,272	01/21/92	Walker et al.			
	5,097,528	03/17/92	Gursahaney et el.			
	5,109,414	04/28/92	Harvey et al.			
5w	5,127,003	06/30/92	Doll, Jr. et al.			
	<u></u>	FORE	IGN PATENT DOCUM	ENTS		
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			U.S	S. PATENT DOCUMENTS	<u> </u>		
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5	7	5,146,491	09/08/92	Silver et al.			
		5,181,238	01/19/93	Medamana et al.			
		5,233,654	08/03/93	Harvey et al.			
		5,255,183	10/19/93	Katz (Penina)			
		5,263,723	11/23/93	Pearson et al.			
1		5,333,185	07/26/94	Burke et al.			********
1		5,335,277	08/02/94	Harvey et al.			*======
		5,351,276	09/27/94	Doll Jr., et al.			*
ر ک	J	5,353,335	10/04/94	D'Urso et al.			
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APPLICANT: Ronald A. Katz

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May 24, 1999

GROUP: 2643

LIST OF PATENTS AND OTHER ITEMS TO THE INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

		U.S. PA	ATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
5	3,787,632	01/1974	Male et al.			
	3,940,569	02/1976	Schonbrun	********		
	3,987,252	10/1976	Vicari			
	4,068,099	01/1978	Mikkola			
	4,087,638	05/1978	Hayes et al.			
	4,090,034	05/1978	Moylan			
	4,160,129	07/1979	Peyser et al.			
	4,192,972	03/1980	Bertoglio et al.			
	4,221,933	09/1980	Cornell et al.			
	4,255,619	03/1981	Saito		*******	
	4,360,875	11/1982	Behnke			
	4,401,856	08/1983	Curtin et al.			
	4,428,296	01/1984	Scheuchzer et al.			
	4,445,001	04/1984	Bertoglio			
	4,517,412	05/1985	Newkirk et al.			
	4,518,824	05/1985	Mondardini			
	4,549,291	10/1985	Renoulin			
	4,585,903	04/1986	Schiller et al.			
	4,591,665	05/1986	Foster et al.			
	4,600,809	07/1986	Tatsumi et al.			
	4,611,096	09/1986	Asmuth et al.	**********		
w	4,625,081	11/25/86	Lotito et al.			

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SUPPLEMENTAL V

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		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
8	4,640,991	02/1987	Matthews et al.			
	4,672,660	06/1987	Curtin			
	4,685,127	08/1987	Miller et al.			
	4,747,124	05/1988	Ladd			
	4,759,056	07/1988	Akiyama			
	4,761,808	08/1988	Howard			
	4,791,666	12/1988	Cobb et al.			
	4,797,818	01/1989	Cotter			
	4,805,207	02/1989	McNutt et al.			
	4,878,240	10/1989	Lin et al.			
	4,893,325	01/1990	Pankonen et al.			
	4,896,346	1/1990 .	Belfield et al.			
	4,899,373	02/1990	Lee et al.			
	4,908,852	03/1990	Hird et al.			
	4,922,519	05/1990	Daudelin			
	4,933,965	02/1991	Hird			
	4,989,234	01/1991	Schakowsky et al.			
	5,036,535	07/1991	Gechter et al.			
	5,199,062	03/1993	Von Meister et al.			
	5,222,120	06/1993	McLeod et al.			
1	5,299,260	03/1994	Shaio			
~ <u>_</u>	5,303,298	04/1994	Morganstein			*

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ATTY. DOCKET NO. 240/182

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APPLICANT:

Ronald A. Katz
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May 24 1999

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LIST OF PATENTS AND OTHER ITEMS FOR PLICANT'S INFORMATION DISCLOSURE STATEMENT

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		U.S. F	PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE	
8~	5,303,299	04/1994	Hunt et al.				
	5,354,069	10/1994	Guttman et al.				
	5,418,844	05/1995	Morrisey et al.				
		FOREIG	N PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	NO NO
	0 249 795	12/1987	EPC				no
	2 575 016	07/1986	France				no
	WO 82/02132	06/1982	РСТ			yes	
	Dial Info Articles (vario	ous articles we	ding Author, Title, Date, Per ith various dates) (R001610 mote Telephone Banking," I (pb424)	1-R0016188)		etin, Vo	l. 23,
	"All About Automated 1000691) "New Product - Dytel's Review, March-April 1 Arbogast, James G. et a Voice Synthesizer Resp Proceedings - Eighth Al Perdue, Robert J., et al. September/October 19	Attendant Sy Automated 984, pp. 39-41., "Home Donse," Annu nnual Sympo , "AT&T Voi 90, pp. 52-66	Systems Historical Overview stems," Datapro Research C Switchboard Attendant," rep 11 (SM10006976-SM10006916 Symposium on Computer al Symposium on Computer Application on Computer Application (Services Architecture Capabases)	orporation, Marc printed from Busin 199) Touch-Tone Cor or Applications in tions in Medical C ectures," AT&T T	h 1987 (SM 1 ness Commun mputer Data E Medical Care Care, 1984 (M echnical Jour	nications intry and 8th Car IMI 020 nal,	2-SM s d re 731)
	Sable, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987 "The Stored Program Controlled Network" The Bell System Technical Journal, September 1982						
	The World's Telephone	es, a Statistica	al Compilation as of January	1980, AT&T Lor	ng Lines, 1981	(Book)	
SW	Engineering and Opera	tions in the E	Bell System, AT&T Bell Labo	oratories, 1983 (B	ook)		

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APPLICANT:

Ronald A. Katz

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FILING DATE: May 24, 1999 2643

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
8	Joel, A.E., "A History of Engineering and Science in the Bell System, Switching Technology (1925-1975)," Bell Telephone Laboratories, 1982 (Book)
	"ISDN - Proceedings of the conference held in San Francisco, November 1986," OnLine, New York: London
	Raack, G.A., et al., "Customer Control of Network Services," IEEE Communications Magazine, October 1984 (A21717089) also ISS 84, Florence Italy, May 1984
	Soderberg, J.H., "Machines at your Fingertips," Bell Laboratories Record, July 1969 (A21717175) Gawrys, G.W. et al., "A New Protocol for Call Handling Functions for the SPC Network," Globecrom '82 Conference Record, November/December 1982
	Buss, C.M., "Tuning the Human/Machine Interface for AT&T Advanced 800 Service," IEEE, July 1985 Asmuth, R.L., et al., "Transaction Capabilities for Network Services," Globecom '85 IEEE Global Telecommunications Conference, New Orleans, December 1985 Mahood, Gerald K., "Human Factors in TOUCH-TONE Data Systems," Bell Laboratories Record, December
	1971 (A21717170)
er	"4 ESS System Evolution," Bell System Technical Journal, August 1981

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LIST OF PATENTS AND OTHER ITEMS TO APPRICANT'S INFORMATION DISCLOSURE STATEMENT

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APPLICANT:

Ronald A. Katz

FILING DATE: May 24, 1999 **GROUP:** 2643

		U.S. PA	TENT DOCUMENTS		<u>-</u>	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
8~	3,553,378	01/1971	Solomon, et al.			
	3,829,628	08/1974	Tripsas			
	4,565,903	01/1986	Riley			
	4,581,486	04/1986	Matthews, et al.			
	4,750,199	06/1988	Norwich			
	4,761,807	08/1988	Matthews, et al.			
	4,782,519	11/1988	Patel, et al.			
	4,785,473	11/1988	Pfeiffer, et al.			
	4,799,255	01/1989	Billinger, et al.			
	4,856,066	08/1989	Lemelson			
	4,932,021	06/1990	Moody			
	4,951,307	08/1990	Willard			
	4,959,855	09/1990	Daudelin		*********	
	5,033,076	07/1991	Jones, et al.			
	5,033,088	07/1991	Shipman			
	5,054,059	10/1991	Stern, et al.			
	5,125,024	06/1992	Gokcen, et al.		*	
	5,148,474	06/1992	Haralambopoulos, et al.		*	
	5,164,981	11/1992	Mitchell, et al.			
	5,168,548	12/1992	Kaufman, et al.			
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	5,179,585	01/1993	MacMillan, Jr., et al.		*	
Su)	5,181,236	01/1993	LaVallee, et al.			

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APPLICANT:

Ronald A. Katz

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INFORMATION DISCLOSURE STATEMENT

LIST OF PATENTS AND OTHER ITEMSTREES

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
84	5,186,471	02/1993	Vancraeynest			
	5,214,689	05/1993	O'Sullivan			
	5,236,199	08/1993	Thompson, Jr.			
	5,289,531	02/1994	Levine			
	5,327,554	07/1994	Palazzi, III, et al.			
	5,361,295	11/1994	Solomon, et al.			
	5,369,685	11/1994	Kero			
	5,416,830	05/1995	MacMillan, Jr., et al.			
	5,511,112	04/1996	Szlam			
	5,561,710	10/1996	Helms	**********		
	5,623,536	04/1997	Solomon, et al.			
	5,651,048	07/1997	Leeuw			********
E4	5,768,348	06/1998	Solomon, et al.			

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APPLICANT: Ronald A. Katz

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LIST OF PATENTS AND OTHER ITEMS TOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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		FOREIG	N PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
R/	1162484	04/1967	Great Britain			Yes	
	WO 89/11768	11/1989	PCT			Yes	
	WO 90/10989	09/1990	PCT			Yes	
	WO 90/11661	10/1990	PCT			Yes	_
	WO 91/15818	10/1991	PCT			Yes	
	WO 92/06548	04/1992	PCT			Yes	
	WO 92/09164	05/1992	PCT			Yes	
	WO 92/15166	09/1992	PCT			Yes	
	2253542	09/1992	Great Britain			Yes	
	EP 0 382 212 B1	07/1998	EPC	***************************************		Yes	
	EP 0 382 670 B1	04/1997	EPC			Yes	
	EP 0 438 860 B1	09/1996	EPC			Yes	
	0 451 693 A2	10/1991	EPC ·			Yes	
	0 451 695 A2	10/1991	EPC			Yes	
	0 453 831 A2	10/1991	EPC		J-2	Yes	
500	0 454 363 A2	10/1991	EPC			Yes	

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APPLICANT: Ronald A. Katz

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INFORMATION DISCLOSURE STATEMENT

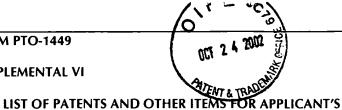
LIST OF PATENTS AND OTHER ITEMS TO THE

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84	4,599,493	07/1986	Ellis Cave			
	4,689,742	08/25/87	Troy et al.			
	4,710,955	12/01/87	Kauffman			
	4,829,563	06/1989	Crockett et al.			
	4,832,341	05/23/89	Muller			
	4,835,630	05/1989	Freer			
	4,850,007	07/18/89	Marino et al.			
	4,881,261	07/1986	Oliphant et al.			
	4,916,726	04/10/90	Morley, Jr. et al.	***************************************		
	4,926,462	05/15/90	Ladd et al.			
	5,000,486	03/19/91	Rua, Jr. et al.			
	5,003,595	03/1991	Collins et al.			
	5,128,984	07/07/92	Katz			
	5,403,999	04/04/95	Entenmann et al.			
	5,415,416	05/16/95	Scagnelli et al.			
	5,475,205	12/12/95	Behm et al.			
	5,490,207	02/06/96	Schorr			
	5,537,143	07/16/96	Steingold et al.			
	5,599,046	02/14/97	Behm et al.			
	5,709,603	01/20/98	Kaye			
1	5,787,156	07/28/98	Katz		***************************************	
Su	5,790,636	08/1998	Marshall			

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APPLICANT:

Ronald A. Katz

FILING DATE: May 24, 1999 **GROUP:**

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INFORMATION DISCLOSURE STATEMENT

		U.S. PA	ATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
85	5,815,551	09/1998	Katz			
	5,835,576	11/10/98	Katz			

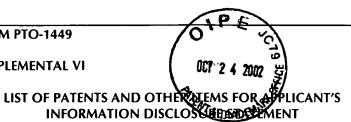
		FOREIGI	N PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	NO NO
	32 25 562	01/19/84	Germany				no
	2 252 270 B	08/1992	Great Britain			Yes	
	0 217 308 A2	04/08/87	Europe			Yes	
	0 917 335 A2	05/19/99	Europe			Yes	
	246067/1988	10/13/88	Japan				no
	009353/1988	01/16/88	Japan	*******			no
	117436/1989	05/10/89	Japan				no
	54-62708	05/21/79	Japan				no
	52-17739	02/09/77	Japan				no
	59-83270	05/14/84	Japan				no
	62-92654	04/28/87	Japan				no
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	Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings				
	Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings				
	A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.				
	A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.				
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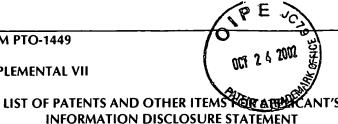
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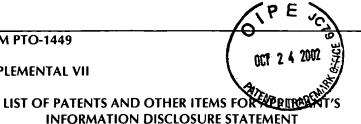
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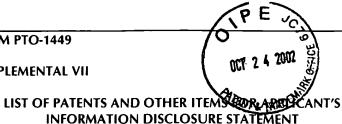
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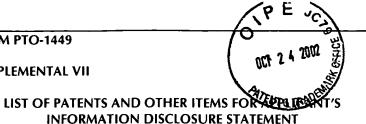
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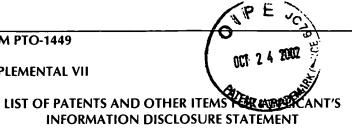
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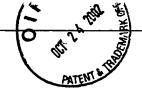
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APPLICANT: Ronald A. Katz

FILING DATE: May 24, 1999 GROUP:

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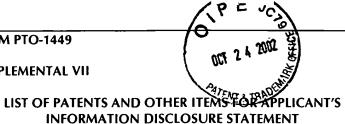
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